Yemen’s Manuscript Culture under Attack

AUTHOR(S): Sabine Schmidtke

URL: https://www.getty.edu/publications/cultural-heritage-mass-atrocities/part-2/13-schmidtke/


ABOUT THE AUTHOR(S):

Sabine Schmidtke is professor of Islamic intellectual history in the School of Historical Studies at the Institute for Advanced Study, Princeton. Her research interests include Shi’ism (Zaydism and Twelver Shi’ism), intersections of Jewish and Muslim intellectual history, the Arabic Bible, the history of Orientalism and the Science of Judaism, and the history of the book and libraries in the Islamicate world. Her recent publications include Muslim Perceptions and Receptions of the Bible: Texts and Studies (2019), with Camilla Adang; Traditional Yemeni Scholarship amidst Political Turmoil and War: Muḥammad b. Muḥammad b. Ismā‘īl b. al-Muṭahhar al-Manṣūr (1915–2016) and His Personal Library (2018); and The Oxford Handbook of Islamic Philosophy (2017), coedited with Khaled el-Rouayheb.

COPYRIGHT: © 2022 J. Paul Getty Trust

LICENSE: The text of this work is licensed under a Creative Commons Attribution-NonCommercial 4.0 International License. To view a copy of this license, visitcreativecommons.org/licenses/by-nc/4.0/. All images are reproduced with the permission of the rights holders acknowledged in captions and expressly excluded from the CC BY-NC license covering the rest of this publication. These images may not be reproduced, copied, transmitted, or manipulated without consent from the owners, who reserve all rights.

PDF GENERATED: July 13, 2022
In the aftermath of the Ottoman Empire's collapse at the end of World War I, the Yemeni highlands came under the rule of the Zaydi Hamid al-Din dynasty. Imam al-Mutawakkil 'ala llah Yahya Hamid al-Din (r. 1904–48) devised an idiosyncratic religio-pedagogical program to advance religion and culture in Yemen while at the same time attempting to shield its citizens from the advancements of modernity. His educational reforms included the foundation in 1926 of a “mosque university” (al-madrasa al-‘ilmīyya) where the country's elite was educated over the next several decades. Moreover, Imam Yahya issued a decree in 1925 announcing the establishment of a public library, al-Khizāna al-Mutawakkiliyya (today Maktabat al-Awqāf), which in many ways constituted a novelty in Yemen. The imam assigned a consecrated location to the library on the premises of the Great Mosque in Sana’a, and he had a new story added for the library along the southern side of the mosque’s courtyard. The principal purpose behind the library, as spelled out in the 1925 decree, was to gather what remained of the many historical libraries dispersed all over the country and thus prevent further losses. For this purpose the imam appointed as library officials qualified scholars, who started to build up the collections. The details of this process can be gleaned from the notes that were added to each codex (fig. 13.1). These record the provenance of the individual codices and when each was transferred to the Khizāna, as well as occasional specific regulations for the codex in question. Gradually, registers of the holdings of the newly founded Khizāna were produced, culminating in a catalogue published in 1942/43 (fig. 13.2).  

The catalogue, a large folio volume consisting of 344 pages and describing some eight thousand titles of both manuscripts and printed books, is a remarkable piece of work: although the information about each manuscript and printed volume is kept to a minimum, it methodically records the provenance of each item. Taken together, these data allow for an inquiry into the history of the library's manuscript holdings (some four thousand items), dating from the tenth century up until the first decades of the
The oldest layer of manuscripts (constituting 5 percent of the Khizāna’s total holdings), some of which were produced during the tenth and eleventh centuries, came from the library of Imam al-Mansūr bi-llāh ‘Abd Allah b. Hamza (r. 1197–1217), which was situated in his town of residence, Zafār, and was one of the oldest extant libraries in
Yemen. Another corpus of particularly precious and old manuscripts originated in the library of the Āl al-Wazīr, a powerful Zaydi family in Yemen, whose members had been engaged in scholarship and politics since the twelfth century; some rose to power while others failed. Time and again their opponents confiscated parts of the family's property, including their books. The codices that are recorded in the 1942/43 catalogue as originating from the library of the Āl al-Wazīr match an inventory of titles confiscated from the al-Wazīr family following the order of the Qāsimī imam al-Mutawakkil 'ala llah Isma'il (r. 1644–76), which was written out in 1690 and lists 131 items. According to historical accounts, the library collection of the Āl al-Wazīr, which amounted to some nine hundred codices by the mid-seventeenth century, had been divided between several branches of the family and was at risk of being lost as a result of the dispersal. The inventory was scribbled down on some empty pages of a codex held in the Khizāna—testimony to the care that was taken with books, even in times of conflict, and at the same time an example of the documentary evidence available for the rich and multifaceted history of Yemen's book and library culture, whose story still needs to be written. The fate of other parts of the library of the Āl al-Wazīr remains unknown; a significant portion of the family's books is said to have ended up in Istanbul. Most of what had remained with the family was confiscated and transferred to the Great Mosque after the failed coup d'état in 1948, in which members of the Āl al-Wazīr played a leading role.

Among the largest collections that were incorporated into the Khizāna are the libraries of members of the Qāsimī family, which ruled the country for most of the sixteenth and seventeenth centuries. These members include two grandsons of al-Imam al-Mansur al-Qasim b. Muhammad (1559–1620), the eponymous founder of the dynasty—namely, al-Mahdi li-Din Allah Ahmad b. al-Hasan b. al-Qasim (1620–1681) (10 percent) and Ahmad's older brother Muhammad b. al-Hasan b. al-Qasim (1601–1668), whose private library stands out for its size (31 percent). Some of the leading bureaucrats during the first century of the Qāsimī period (sixteenth century) also had substantial personal libraries, the remains of which were likewise transferred to the Khizāna (21 percent). Imam Yahya also contributed a significant portion of manuscripts from his personal library to the newly founded Khizāna (17 percent).

The imam's concern to salvage what remained of the historical libraries to prevent further losses was certainly justified. Prior to the 1925 decree, numerous codices that had originally belonged to the library of Imam al-Mansur bi-llāh 'Abd Allah b. Hamza, as well as those libraries founded by members of the Qāsimī dynasty, had been sold and are nowadays preserved in the libraries of Riyadh, Istanbul, Berlin, Leiden, Milan, Vienna, Munich, London, and even Benghazi (figs. 13.3a, 13.3b). Others are today in the possession of private owners in Yemen.

The entries in the catalogue are classified according to twenty-six disciplinary headings, and within each section the titles are arranged in alphabetical order, with printed books and manuscripts listed side by side. While the classification system, as
well as other organizational principles applied in the Khizāna, emulated notions of rudimentary library science at the time of its founding, it also reflects the traditional
canon of mainstream Zaydism. Remarkably, several disciplines remain uncovered in the catalogue. There are no headings covering philosophy or Sufism, two strands of thought that were not cherished among the Zaydis. That the exclusion was the result of a conscious decision is corroborated by oral reports, according to which Imam Yahya gave special orders to exclude from the catalogue all categories of holdings that he considered inappropriate or harmful. That works of philosophy did circulate among the Zaydis of Yemen is, however, attested since the twelfth century. The two prominent twelfth-century scholars Qadi Ja’far b. Ahmad b. ‘Abd al-Salam al-Buhluli (d. 1177/78) and al-Hasan b. Muhammad al-Rassas (d. 1188), for example, wrote refutations of selected notions of the philosophers, and their respective works testify to their familiarity with some of the relevant primary sources, including perhaps al-Ghazali’s *Doctrines of the Philosophers* (*Maqāṣid al-falāsifa*). Moreover, a handwritten inventory of the library of Imam al-Mutawakkil Sharaf al-Din Yahya (d. 1558), a grandson of the renowned Imam al-Mahdi Ahmad b. Yahya b. al-Murtada (d. 1437) and a prominent scholar in his own right, includes a number of philosophical titles, such as two copies of *Doctrines of the Philosophers*, a book on logic by al-Farabi, and a partial copy of Avicenna’s *Deliverance* (*K. al-Najāt*). Imam Yahya is also reported to have captured significant quantities of books during his battles against the Isma‘īlis in Yemen, including an entire library of four hundred codices that he received as booty in May–June 1905. None of these works are listed in the catalogue, as they were kept during Imam Yahya’s lifetime in his personal library. Their later fate and current whereabouts are unknown. Isma‘īlī missionary propagation (*da‘wa*) had been associated with Yemen since the end of the ninth century—that is, since about the time when Imam al-Hadi ila l-Haqq Yahya b. al-Husayn (d. 911) and his followers arrived in Ṣa‘da and established a Zaydi state in the northern highlands of Yemen, and the Isma‘īlīs posed a serious threat to the Zaydis during the Ṣulayḥīd rule over Yemen, which lasted from about the mid-eleventh to the mid-twelfth century. Thereafter, the Isma‘īlī *da‘wa* moved eastward toward India, although the community continued to be present in small numbers in Yemen. Sufism was also largely detested by the Zaydis, and historical accounts from the Qāsimī era regularly mention repeated attempts to purge the book markets of Sufi works, an indication that such titles did circulate among the Zaydis.

That works pertaining to philosophy, Sufism, and Ismā‘īlism are largely missing from the catalogue suggests that Imam Yahya asked them to be left uncatalogued. The 1942/43 catalogue thus testifies both to the long library tradition of Zaydi Yemen and to the continuous efforts to cleanse the curriculum through censorship and destruction and to control the literary canon (fig. 13.4).
A Millennium of Zaydi Presence in Yemen

Since the ninth century, the Zaydi community has flourished mainly in two regions, the mountainous northern highlands of Yemen and the Caspian region of northern Iran. Over the following centuries, the Zaydis of Yemen remained largely isolated from their coreligionists in Iran as a result of their geographical remoteness and political seclusion. Unlike Yemen, northern Iran was in close proximity to some of the vibrant intellectual centers of the Islamic world between the ninth and eleventh centuries, and Iranian Zaydis were actively involved in the ongoing discussions. The most important among the period’s intellectual strands was the Muʿtazila, a school of thought that attributed primary importance to reason in matters of doctrine and that thrived under the Buyids, who ruled over Iran and Iraq. During the tenth century, Abu ʿAbd Allah al-Basri (d. 980), who was based in Baghdad, was at the helm of the Bahshamite branch of the movement, and his students included the two brothers and later Zaydi imams Abu l-Husayn Ahmad b. al-Husayn (Imam al-Muʿayyad bi-llah, d. 1020) and Abu Talib Yahya b. al-Husayn (Imam al-Natiq bi-l-Haqq, d. circa 1033), both prolific scholars. Abu ʿAbd Allah al-Basri’s successor as the head of the school was ʿAbd al-Jabbar al-Hamadhani (d. 1025). The latter enjoyed the patronage of the Buyid vizier al-SṢahib b. ʿAbbad, who appointed him chief judge in Rayy (today part of Tehran). ʿAbd al-Jabbar also had a fair number of Zaydis among his students, some of whom wrote commentaries on his theological works and composed their own books. Rayy continued to be a center of Zaydi Muʿtazili scholarship, even after the demise of ʿAbd al-Jabbar, and we know of a number of prominent Zaydi
scholarly families in the city that flourished during the eleventh and early twelfth centuries.\textsuperscript{12}

A rapprochement between the Zaydi communities in Iran and Yemen began in the early twelfth century and eventually resulted in their political unification. This development was accompanied by a transfer of knowledge from northern Iran to Yemen that comprised nearly the entire literary and religious legacy of Caspian Zaydism. The sources—chains of transmission and scribal colophons in manuscript codices, correspondence, and biographical literature, as well as biobibliographies and other historical works—provide detailed information about the mechanisms of this process. Throughout the twelfth century various prolific Zaydi scholars from the Caspian region were invited to come to Yemen: they brought numerous books by Iranian authors and acted as teachers to the Yemeni Zaydi community's spiritual and political leaders, the imams, and other scholars in Yemen. At the same time, Zaydi scholars traveled from Yemen to Iran and Iraq for the purpose of study. The knowledge transfer reached its peak during the reign of the aforementioned Imam al-Mansur bi-llāh ʿAbd Allah b. Hamza. The imam founded a library in Zafār, his town of residence, for which he had a wealth of textual sources copied by a team of scholars and scribes.\textsuperscript{13}

The imported Basran Muʿtazili literature from Iran served as an ideological backbone in the intra-Zaydi conflict with the local movement of the Muṭarrifiyya, a school of thought within Yemeni Zaydism that had evolved over the tenth and eleventh centuries and is named after Mutarrīf b. Shihāb b. ‘Amīr b. ʿAbbad al-Shihabī (d. after 1067), who played a major role in formulating and systematizing its doctrines. Although the followers of the Muṭarrifiyya claimed to cling fervently to the theological teachings of the aforementioned al-Hādi ila l-Haqq and his sons, they developed a cosmology and natural philosophy of their own. They maintained, for example, that God had created the world out of three or four elements—namely, water, air, wind, and fire—and that it is through the interaction of these constituents of the physical world that change occurs; in other words, they endorsed natural causality rather than God's directly acting upon his creation.

The precise contours of their doctrines cannot be restored at this stage. The conflict between the local Zaydi-Muṭarrifi faction and those Zaydis who adhered to the Bahshamite Muʿtazilite doctrine of northern Iran reached its peak during the reign of Imam al-Mansur bi-llāh ʿAbd Allah b. Hamza, an ardent adherent of the Bahshamite doctrines. He led a relentless, all-out war against the adherents of the Muṭarrifiyya, demolished their abodes,\textsuperscript{14} schools, and libraries, and had their entire literary heritage destroyed. Today, we possess only a few original works by Muṭarrīfi authors to inform us about the movement's doctrine and its development over time. However, there is a plethora of anti-Muṭarrīfi polemics written by mainstream Zaydi authors, a genre that continued to thrive for several centuries after the movement went extinct. These polemics can be used only with great caution as a source for the reconstruction of the thought of the Muṭarrifiyya.\textsuperscript{15}
The next important phase of religio-cultural renewal among the Zaydis in Yemen occurred during the Qāsimī era in the sixteenth and seventeenth centuries. Under the rule of the Qāsimis, the Ottomans, who had conquered Yemen in 1517, were pushed from the country's inland regions, and they eventually withdrew from their last foothold, the coastal town of Mocha, in 1636. Moreover, the Qāsimī imams expanded their territory beyond the traditional Zaydi areas, and during the reign of the third Qāsimī imam, al-Mutawakkil Isma‘il (r. 1644–76), most of the country, including lower Yemen and the eastern stretch of Hadramawt, came under Zaydi rule (fig. 13.5).

Economically, the first century of Qāsimī rule was also particularly prosperous, as Yemen maintained a monopoly on the cultivation and export of coffee from 1636 through 1726. The Qāsimī imams, as well as other members of their family, were engaged in fostering a religious and cultural renewal of Zaydism and sought to spread it beyond its traditional boundaries into the newly conquered regions of Yemen, and the

prosperity of the period provided them with the material means to do so. There was a significant rise in the production of manuscripts, and many members of the Qāsimī royal family as well as other leading dignitaries and scholars founded new libraries and accumulated significant collections of books, many of which were also imported from other parts of the Islamic world through the Sunni Shafiʿi regions of Yemen as well as through Mecca, which since the beginning of Islam had been an important center for the exchange of books and scholarship during the annual hajj period. At the same time, the Qāsimīs not only promoted scholarship but also carried out censorship by excluding from the canon works that they considered to contravene core Zaydi beliefs and doctrine—most importantly Sufi literature, as well as philosophy and Ismaʿīlī works. Under Qāsimī rule, the confiscation of property and libraries was a common means of combating political enemies within the Zaydi community.¹⁶

Beyond the Khizāna al-Mutawakkiliyya
The preservation of books, alongside confiscation, censorship, and occasional destruction of books and entire libraries, has continued in Yemen throughout the twentieth century and the first decades of the twenty-first century. In the aftermath of the 1962 revolution and the resulting civil war, thousands of manuscripts from members of the former royal family, from some members of the family of the Prophet Muhammad (ṣadat), and from collections that were found in combat areas were confiscated and stored in the Maktaba al-Gharbiyya and later in the Dār al-Makḥṭūṭāt in Sana‘a (fig. 13.6). The latter’s holdings were repeatedly catalogued, though it remains uncertain what percentage of the entire collection (which grows continuously through confiscations by state authorities and chance finds) has so far been described.¹⁷

Additionally, only some of the former rulers’ libraries were integrated into the Khizāna al-Mutawakkiliyya under Imam Yahya’s rule. Since the Zaydi imams typically made an individual choice regarding where to establish their residences, the holdings of the various rulers’ libraries are dispersed all over the country. For example, none of the numerous extant precious manuscripts of the writings of Imam al-Mu‘ayyad bi-llah Yahya b. Hamza (1270–1348/49) in his own hand can be found today in the Khizāna al-Mutawakkiliyya. Of his major theological summa, the K. al-Shāmil li-ḥaqāʾiq al-adilla al-aqlīyya wa-ustūl al-masāʾil al-dīniyya, a work in four volumes, autographs (actual manuscripts written by the original author) of volumes two and four are preserved in Ta‘izz and Leiden, respectively. Further, many of the former holdings of the personal library of the aforementioned Imam al-Mutawakkil ‘ala Ilah Sharaf al-Din Yahya are today kept in the library of the Grand Mosque of Dhamar. Only a fraction of such smaller libraries across the country, including countless family libraries, have been catalogued.¹⁸

The socioreligious and cultural value of the Zaydi literary heritage as preserved in books and libraries for northern Yemen and its people can hardly be overestimated. The principal reason for its importance is the Zaydi notion of political authority, the
imamate. Although the Zaydis restricted the privilege to claim the imamate to members of the family of Muhammad, the *ahl al-bayt* (preferably descendants of al-Hasan and al-Husayn), they do not insist on a hereditary line of imams. Among the qualifications required of a Zaydi imam, excellent knowledge in religious matters and the capacity to perform *ijtihad* (independent reasoning in legal matters) held top priority. As a result, the Zaydi imams were not only patrons of culture but also prolific scholars themselves, so a significant portion of the Zaydi literary legacy consists of the writings of the imams. Books and libraries therefore qualify as the principal identity marker for Zaydis of Yemen, and this also accounts for the millennium of nearly uninterrupted library history in the country’s highlands. The significance of books and libraries in Yemen is also the motivation behind the efforts of private Yemeni nongovernmental organizations and other institutions such as the Imam Zayd b. Ali Cultural Foundation and Markaz al-Badr al-‘ilmi wa-l-thaqafi, which both endeavor to make works by Zaydi imams and scholars available through publication and to digitize private book collections (fig. 13.7).
At the same time, there are several factors that put the library tradition of Yemen at immediate risk today. As elsewhere, books are a commodity in Yemen, and the buying and selling of books and the dispersal of entire libraries following the demise of their owners are common occurrences. Moreover, manuscript culture has persisted in Yemen beyond the turn of the twenty-first century, as is evident from the fact that manuscripts are still being produced in large numbers. As a result, there are interesting encounters between manuscript tradition and technology. Once photocopy machines became available in Yemen, owners of manuscript libraries began to produce copies of individual codices from their collections and often had them bound in the traditional manner. These mechanically produced “new” codices became a novel commodity alongside codices produced by hand, and the same applies to compact discs and other digital media containing scans of large numbers of manuscripts, and often entire libraries, with poor or no documentation as to the provenance of the material and the whereabouts of the physical codices (fig. 13.8).  

All this, together with the lack of catalogues for the majority of the numerous public and private libraries in Yemen, makes it impossible to prevent illicit traffic in manuscripts. Throughout much of the second half of the twentieth century and the first decades of the twenty-first, Yemeni authorities have been constantly fighting manuscript dealers, trying to prevent them from smuggling manuscripts out of the country, apparently with only limited success. That such trade continues on a regular basis is attested to by the numerous collections of Yemeni manuscripts offered to libraries in the West during the second half of the twentieth century and occasional
reports on social media of manuscripts of Yemeni provenance showing up in museums and private collections in the Persian Gulf region. Another development that has put significant portions of the Zaydi literary tradition at risk is the growing “sunnification” of Zaydism, a trend whose beginnings can be traced back to the fourteenth century. The towering figure in this endeavor was the eighteenth-century Yemeni scholar Muhammad b. ‘Ali al-Shawkani (1760–1834), who sought to eliminate the Zaydi-Hādawi tradition—“Hādawi” referring to the founder of the Zaydi state in Yemen, al-Hadi ila l-Haqq—and accordingly revised the traditional works to be included in the curriculum. His program had little impact on the curriculum of the Zaydi elite of Yemen before the revolution, but the situation has changed dramatically since the official abolition of the imamate in 1962. This plus the increasing presence of the so-called ma‘ahid al-‘ilmīyya (Sunni teaching institutions with a distinct anti-Hādawi bias that have spread in Yemen since 1972 with Saudi backing) constitute a major threat to the countless smaller public and private libraries in the country. Many of the private libraries in the north of Yemen were severely damaged, looted, or even destroyed over the course of the twentieth century as a result of political turmoil and wars, and the continuing war today constitutes another imminent threat not only to the local population but also to the cultural heritage of the country, including its many libraries (fig. 13.9).

Conclusion
For Yemen’s book culture, it is a curse and a blessing that some of the most precious collections were purchased by European, Ottoman Turkish, and Saudi scholars,
diplomats, merchants, and travelers during the second half of the nineteenth and the early decades of the twentieth century (and beyond); these manuscripts, numbering between ten and twenty thousand, are nowadays housed in libraries outside of the country. Within Europe, the Glaser collections (today in Berlin, Vienna, and London) and the Caprotti collections (in Munich and Milan) as well as other, smaller collections have served as the basis for Western scholarship on Zaydism since the early twentieth century. Whereas the collections of manuscripts of Yemeni provenance in Turkey, Saudi Arabia, and other countries in the Middle East remain largely unexplored and often even uncatalogued, the majority of European libraries with substantial holdings of Yemeni provenance subscribe to the concept of digital repatriation of those treasures by making their material available through open access, in most cases both through their own digital repositories and under the auspices of collaborative projects such as the Zaydi Manuscript Tradition project.

At the same time, the history of libraries in Yemen is largely terra incognita, and no attempt has ever been made to write a critical account of the historical or present-day libraries of Yemen. In view of the lack of a critical mass of reliable catalogues, it is the codices themselves that constitute the most important sources on the historical libraries of Yemen: many contain ample documentary materials, such as ownership statements, purchase notes, scribes’ colophons, study notes, and often entire inventories of historical libraries. An analysis of a critical mass of codices could contribute to reconstructing the holdings of individual libraries and their fate over time, which in turn would help curb illicit trafficking and allow for collaborative efforts among scholars in Yemen, the wider Middle East, and beyond to
salvage and study the Zaydi Yemeni manuscript tradition. None of this is possible under current circumstances.

**SUGGESTED READINGS**


Nancy Um, “Yemeni Manuscripts Online: Digitization in an Age of War and Loss,” *Manuscript Studies* 5, no. 1 (2021), https://repository.upenn.edu/mss_sims/vol5/iss1/1.

**NOTES**


2. In 1984, another catalogue, describing only the manuscripts of the former al-Khizāna al-Mutawakkiliyya, nowaways Maktabat al-Awqāf, was published: Ahmad ʿAbd al-Razzāq al-Ruqayḥī et al., *Fihrist makḥṭūtāt maktabat al-Jāmiʿ al-kabīr, Ṣanʿā*, 4 vols. (Ṣanaʿa: Wizārat al-awqāf wa-l-irshād, 1984). As in the 1942/43 catalogue, the provenance of the individual codices is again indicated, though the information provided seems less reliable overall.


6. These include Qur’anic sciences, exegesis, prophetic traditions, dogmatics, inward sciences and ethics, logic and disputation, legal theory, Hāḍawi law, Sunni law, law of inheritance, grammar, morphology, eloquence, linguistics, poetry and literature, history, medicine, dream interpretation, astronomy, and agriculture.

7. At present, efforts are underway in Yemen to produce a new catalogue of the holdings of the Maktabat al-Awqāf to include the so-far-uncatalogued manuscripts.


15. For details on the reception of Bahshamite doctrine among the Zaydis of Yemen and the conflict with the Muṭarrifiyya, see Hassan Ansari, Sabine Schmidtke, and Jan Thiele, “Zaydi Theology in Yemen,” in *The Oxford Handbook of Islamic Theology*, ed. Schmidtke, 473–93.


18. For details, see Schmidtke, “Preserving, Studying, and Democratizing Access.”


23. The forthcoming study by Hassan Ansari and Sabine Schmidtke, *Towards a History of Libraries in Yemen*, covers only some aspects of this large field of inquiry.